

STATE PROJECT IMPLEMENTATION UNIT-(SPIU)

Women Institute of Technology, Uttarakhand, UTU New Campus, PO
Chandanwari, Sudhowala, Dehradun – 248007 (Uttarakhand)

E-mail: spiuuk2017@gmail.com

**REQUEST FOR EXPRESSION OF INTEREST FOR HIRING OF CAG EMPANELED MAJOR AUDIT CA
FIRM FOR CONDUCTING EXTERNAL AUDIT OF TEQIP-III PROJECT**

Expression of Interest from Major audit CAG Empaneled Chartered Accountant firms are invited for conducting Statutory Audit of Accounts of SPIU, 8 Project Institutions/ATU & Consolidation pertaining to TEQIP-III project for Financial Year 2017-18.

The detailed Expression of interest document can be obtained from **www.npiu.nic.in**.

The last date is 07.09.2018, time 1.00 PM for submitting Expression of Interest at the above-mentioned address. Incomplete proposal and proposal received after due date and time be summarily rejected. Authority not responsible for any postal delay.

STATE PROJECT ADVISOR/NODAL OFFICER

SPIU, Dehradun, Uttarakhand

**Technical Education Quality Improvement Programme[TEQIP]-Phase III
Consultancy Services for Auditor Appointment
Expressions of Interest**

The Government of **India** has *applied for/availed* a credit/loan from International Development Association (IDA), and the International Bank for Reconstruction and Development (IBRD) and intends to apply part of the loan/credit proceeds to make payments under the contract for the following services:

Consultancy for Auditor Appointment

The **Technical Education Quality Improvement Programme[TEQIP]-Phase III** Project now invites eligible Consultants to indicate their interest in providing the services. A Consultant will be selected in accordance with the procedures set out, in the World Bank's Guidelines: Selection of Employment of Consultants by World Bank Borrower (current edition). Interested Consultant may submit "Expression of Interest" in a sealed envelope clearly superscripted as Expression of Interest for " **Auditor Appointment** and may obtain further information about the services, procedures for submitting the EOI etc. at

Consultants may associate other Service Providers to enhance their qualifications/skills. Expressions of Interest must be delivered to the address below on or before dated

**SPIU,
Nodal Officer,
WIT, UTU New Campus, PO Chandandwadi, Suddhowala, Dehradun-
248007
Contact No. 98999 00367**

Uttarakhand
E-mail: spiuuk2017@gmail.com

SELECTION OF CONSULTANTS BY THE BANK'S BORROWERS
REQUEST FOR EXPRESSIONS OF INTEREST

Country: India

Project Name: **Technical Education Quality Improvement Programme[TEQIP]-Phase III**

METHOD OF CONSULTING SERVICES

Credit.: **Cr. 4685-0 IN**

Expressions of Interest

The Government of India has received a Credit 4685-IN from the International Development Association and it is intended that part of the proceeds of this credit will be applied to eligible payments under the contracts for **Technical Education Quality Improvement Programme[TEQIP]-Phase III**.

The services include [2017-18..].¹

Consulting Services: **Auditor Appointment**

Brief Description: **Statutory Audit**

Organization: **Uttarakhand**

Implementation Period: 1st april, 2017 to March 2018

The **Uttarakhand** now invites eligible consultants to indicate their interest in providing the services. Interested consultants must provide information indicating that they are qualified to perform the services (brochures, description of similar assignments, experience in similar conditions, availability of appropriate skills among staff, etc.). Consultants may associate to enhance their qualifications.

A consultant will be selected in accordance with the procedures set out in the World Bank's *Guidelines: Selection and Employment of Consultants by World Bank Borrower* (current edition).

Short-listing Criteria

5. Minimum Eligibility Criteria:

The firm must be major audit empanelled with C & AG without which the application of the firm would not be considered.

I. Firms must qualify following minimum criteria:

Sl. No.	Particulars*	Minimum Criteria
1.	Number of Full Time Partners associated with the firm for not less than 3 years with at least one being a Fellow CA (As per Certificate of ICAI as on 1.1.2009)	4
2.	Turnover of the firm (Average annual in last three financial yrs.)	Minimum Rs.25 Lakhs
3.	No. of Years of Firm Existence	5 Yrs.
4.	No. of assignments of Statutory Audit of Corporate/PSUs entities except Bank Branch Audit having a turnover of not less than Rs 25 crores in the last 3 years.	4
5.	No. of assignments: Experience of audit of Externally Aided Projects/ Social Sector Projects (other than Audit of Charitable Institutions & NGOs) in the last 3 years	4

a) Any firm not qualifying these minimum criteria need not apply as their proposal shall be summarily rejected.

b) **Supporting Documents for Eligibility Criteria:** Following supporting documents must be submitted by the firm along with the technical proposal:

- i. For S. No. 1 above, the firm must submit an attested copy of Constitution Certificate of ICAI as on 1.1.2009.
- ii. For S. No. 2, the firm must submit, a copy of the balance sheet for the last three years.
- iii. For S. No. 4 & 5, the firm must submit a copy of the appointment letters from the auditee organizations. Branch Audit of any Bank shall not be considered while taking into account the total number of assignments.

II. The firm or any partners of the firm should not be black listed by any PSUs or Govt. Co. or any other organization in respect of any assignment or behaviour. [Self-attested affidavit on Rs.100/- stamp paper to be given in this regard by the authorised person of the firm].

6. **Evaluation Criteria: Expression of Interest (EOI)**

The Evaluation Criteria for selecting the auditor are mentioned below:

S. No.	Evaluation Criteria	Maximum Marks
1	Number of Partners (2 marks up to 3 partners, 1 for each additional partner)	10
2	Presence of the Firm in Project State	10
3	Number of Professionally Qualified Staff Between 10-25 staff-(5 marks)	10

	More than 25 Staff-(10 marks)	
4	Turnover for the last five years More than 50 lacs and up to 75 Lacs-2 marks for each year More than 75 Lacs-4 marks for each year	20
5	Number of Audit and similar assignments undertaken during last 5 years (5 marks for each assignment, maximum three)	15
6	Number of World Bank Project Audits** undertaken during the last 5 years (5 marks for each assignment, maximum seven assignments)	35
	Total Marks	100

* The audit firms must be empanelled with the C&AG and eligible for major audits

** World Bank audits means any audit conducted by the firm for World Bank clients and includes both external audit and internal audit.

Interested consultants may obtain further information at the address below

Expressions of interest must be delivered to the address below by , .

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¹ The intent is to enable potentially interested consultants to decide whether or not to prepare an expression of interest.